Workshop on Wave Propagation and Time Reversal
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# $\mathrm{TI}_{\text {ME }}$ REVERSAL FOR RADAR IMAGING OF SMALL CONDUCTING AND/OR <br> DIELECTRIC OBJECTS HIDDEN BY CLUTTER 

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## OUTLINE OF TALK

- Motivation
- Electromagnetic imaging/detection through foliage/clutter
- Characterization: If we do see something, what is it?
- History: Acoustic and Elastic T/R
- Chambers and Gautesen (JASA, 2001)
- Summary of the Electromagnetic Analysis for
a Small Dielectric or Conducting Sphere
- Numerical Examples
- Conclusions


## TIME-REVERSAL ACOUSTICS PROBLEMS

- Liliana Borcea, Chrysoula Tsogka, and George

Papanicolaou (Stanford - Math): acoustic imaging through random media using statistical stability concepts

- John Sylvester (UW - Math): more precise acoustic imaging using angular dependence of the far-field scattering operator
- Chris Jones, Darrell Jacks ${ }^{\text {on, }}$ and Dan Rouseff (APL
- U Wash): super-resolution or super-focusing (for communications) in waveguides (like the ocean) and also random media (like the turbulent ocean)


## Source Array Setup

Consider an array of $N$ short, crossed-dipole elements lying in the plane $z=-z_{a}$, where $z_{a}$ is the distance between the plane and the scattering sphere (which is located at the origin). The position of the $n$th element of the array is given by $\vec{r}_{n}=\left(x_{n}, y_{n},-z_{a}\right)$.
The standard result for the electric field at point $\vec{r}$ radiated from the nth element is given by

$$
\vec{E}_{n}^{(i)}=\frac{i k e^{i k R_{n}}}{4 \pi \epsilon_{0} c R_{n}} \hat{R}_{n} \times\left[\hat{R}_{n} \times\left(d_{H} I_{n}^{H} \hat{e}_{x}+d_{V} I_{n}^{V} \hat{e}_{y}\right)\right]
$$

where $c$ is the speed of light, $k$ is the wavenumber, $\epsilon_{0}$ is the electrical permittivity, and $\vec{R}_{n}=\vec{r}-\vec{r}_{n}$.
The scalar $R_{n}=\left|\vec{R}_{n}\right|$ is the vector's magnitude.

## Source Array Setup (continued)

The horizontal and vertical dipoles in the element (having lengths $d_{H}$ and $d_{V}$ ) are driven by the currents $I_{n}^{H}$ and $I_{n}^{V}$, respectively). The horizontal dipole is oriented parallel to the $x$-axis and the vertical dipole parallel to the $y$-axis.

There is also a magnetic field radiated from the $n$th element, which is given similarly by

$$
H_{n}^{(i)}=\frac{i k e^{i k R_{n}}}{4 \pi \epsilon_{0} c R_{n}}\left[\hat{R}_{n} \times\left(d_{H} I_{n}^{H} \hat{e}_{x}+d_{V} I_{n}^{V} \hat{e}_{y}\right)\right]
$$

## Scattered Field

With a sphere of radius $a \ll z_{a}$ at the origin and $a$ also much smaller than the wavelength, the scattered field to leading order is given by

$$
\vec{E}^{(s)}=-\frac{k^{2} e^{i k r}}{r}[\hat{r} \times(\vec{m}+\hat{r} \times \vec{p})]
$$

The induced electric dipole moment is $\vec{p}$ and the induced magnetic dipole moment is $\vec{m}$. These moments are generated at the sphere as if a plane wave were incident at this distance.

## Scattered Field (continued)

The moments are related to the incident field evaluated at the position of the sphere $\vec{r}=0$ :

$$
\begin{gathered}
\vec{m}=-m_{0} \hat{r}_{n} \times \vec{E}_{n}^{(i)}\left(-\vec{r}_{n}\right), \\
\vec{p}=p_{0} \vec{E}_{n}^{(i)}\left(-\vec{r}_{n}\right) .
\end{gathered}
$$

The scalar factors are $p_{0}=a^{3}\left(\tilde{n}^{2}-1\right) /\left(\tilde{n}^{2}+2\right)$, where $\tilde{n}^{2}=\epsilon+i 4 \pi \sigma / \omega$, and $m_{0}=-i B_{1}^{m} / k_{3}$. The sphere relative permittivity is $\epsilon$, its conductivity is $\sigma$, the angular frequency is $\omega$, and $B_{1}^{m}$ determines the strength of the magnetic moment. In general, $m_{0}$ and $p_{0}$ are complex numbers.

The scattered field induces voltages on each dipole element of the array. The result at the mth element can be expressed as

$$
\begin{aligned}
V_{m}^{H} & =-d_{H}\left[\hat{r}_{m} \times\left(\hat{r}_{m} \times \hat{e}_{x}\right)\right] \cdot \vec{E}^{(s)}\left(\vec{r}_{m}\right), \\
V_{m}^{V} & =-d_{V}\left[\hat{r}_{m} \times\left(\hat{r}_{m} \times \hat{e}_{y}\right)\right] \cdot \vec{E}^{(s)}\left(\vec{r}_{m}\right) .
\end{aligned}
$$

Combining all these expressions (incident field, scattered field, and induced voltages) will produce the full transfer matrix for this problem.

## The Scattering Matrix

Since all three of these steps involve double cross-product formulas, the resulting final expressions will be rather tedious unless we can find some way to simplify them. We found that, by introducing a special type of projection operator (a $3 \times 3$ matrix) defined by

$$
\Delta_{m n}=\hat{r}_{m} \cdot \hat{r}_{n} \Im-\hat{r}_{n} \hat{r}_{m}^{T},
$$

we could collapse the equations very efficiently, where $\Im$ is the identity matrix. In these terms, the main scattering operator can be written as

$$
S=\Delta_{m m}\left(m_{0} \Delta_{m n}-p_{0} \Delta_{m m}\right) \Delta_{n n}
$$

## The Scattering Matrix (continued)

Then using the properties of our projection operator, we find easily that

$$
S=m_{0} \Delta_{m n}-p_{0} \Delta_{m m} \Delta_{n n}
$$

The result is that we can write the key matrix as
$\left(\begin{array}{ll}K_{m n}^{H H} & K_{m n}^{H V} \\ K_{m n}^{V H} & K_{m n}^{V V}\end{array}\right)=\frac{i k^{3} e^{i k\left(r_{m}+r_{n}\right)}}{4 \pi \epsilon_{0} c r_{m} r_{n}}\binom{d_{H} \hat{e}_{x}^{T}}{d_{V} \hat{e}_{y}^{T}} S\left(d_{H} \hat{e}_{x} \quad d_{V} \hat{e}_{y}\right)$.
The superscripts $H$ and $V$ refer to the horizontal and vertical dipoles in each array element and their corresponding polarizations.

## The Scattering Matrix (concluded)

Then the final result is

$$
\binom{V_{m}^{H}}{V_{m}^{V}}=\left(\begin{array}{cc}
K_{m n}^{H H} & K_{m n}^{H V} \\
K_{m n}^{V H} & K_{m n}^{V V}
\end{array}\right)\binom{I_{n}^{H}}{I_{n}^{V}} .
$$

## The Coupling Matrix

The $2 \times 2$ matrix $K_{m n}$ can be written as

$$
K_{m n}=\frac{i k^{3} q^{i k\left(r_{m}+r_{n}\right)}}{4 \pi \epsilon_{0} c r_{m} r_{n}} \hat{K}_{m n}
$$

where the elements of $\hat{K}_{m n}$ were given before, and $q \equiv \sqrt{\left|m_{0}\right|^{2}+\left|p_{0}\right|^{2}}$. Note that $\hat{K}_{m n}=\hat{K}_{m n}^{T}$ by reciprocity. Note also that all combinations of polarization coupling are represented in $K_{m n}$.

## Data and SVD

Our array has $N$ crossed-dipole elements lying in a plane.

Let $V$ be the vector of received voltages and $I$ the vector of transmitted currents (both of length 2N). Then,

$$
V=T I,
$$

where

$$
\begin{aligned}
V & =\left(V_{1}^{H}, V_{1}^{V}, \ldots, V_{N}^{H}, V_{N}^{V}\right)^{T} \\
I & =\left(I_{1}^{H}, I_{1}^{V}, \ldots, I_{N}^{H}, I_{N}^{V}\right)^{T}
\end{aligned}
$$

and $T$ is the transfer matrix.

## Transfer Matrix

The response or transfer matrix for this problem is

$$
T=\left(\begin{array}{cccc}
K_{11} & K_{12} & \cdots & K_{1 N} \\
K_{21} & K_{22} & \cdots & K_{2 N} \\
\vdots & \vdots & \ddots & \vdots \\
K_{N 1} & K_{N 2} & \cdots & K_{N N}
\end{array}\right)
$$

The matrices $K_{m n}$ are $2 \times 2$ matrices connecting horizontal and vertical dipole sources to horizontal and vertical dipole receivers in all four possible combinations:

$$
K_{m n}=\left(\begin{array}{ll}
K_{m n}^{H H} & K_{m n}^{H V} \\
K_{m n}^{V H} & K_{m n}^{V V}
\end{array}\right)
$$

## Singular Value Decomposition (SVD)

We could compose the full time-reversal operator for this problem, which is $T^{*} T$. This matrix is square and Hermitian. Eigenvectors and eigenvalues can be found in a straightforward way. But this is actually somewhat more difficult (unwieldy) than performing the singular value decomposition on the matrix $T$ itself. In this case,

$$
T \Phi=\Lambda \Phi^{*}
$$

where the singular values $\Lambda$ are real, non-negative, and also the square roots of the eigenvalues for the corresponding eigenvectors of $T^{*} T$.

We can simplify the problem somewhat more by normalizing the equations, and eliminating various common factors. Letting $z_{j}=e^{-i k r_{j}}$, for $j=1, \ldots, N$, we define $\phi_{1}, \ldots, \phi_{2 N}$ by

$$
\Phi=\frac{1}{\sqrt{i}}\left(\phi_{1} z_{1}, \phi_{2}, z_{1}, \ldots, \phi_{2 N-1} z_{N}, \phi_{2 N} z_{N}\right)^{T}
$$

and

$$
\Lambda=\frac{k^{3} q}{4 \pi \epsilon_{0} c} \lambda .
$$

Then, the SVD reduces to

$$
\hat{T} \phi=\lambda \phi^{*}
$$

where now

$$
\hat{T}=\left(\begin{array}{cccc}
\hat{K}_{11} & \hat{K}_{12} & \cdots & \hat{K}_{1 N} \\
\hat{K}_{21} & \hat{K}_{22} & \cdots & \hat{K}_{2 N} \\
\vdots & \vdots & \ddots & \vdots \\
\hat{K}_{N 1} & \hat{K}_{N 2} & \cdots & \hat{K}_{N N}
\end{array}\right)
$$

By factoring out the complex exponential from the original singular vectors $\Phi$, the part of the phase responsible for focusing the transmitted field on the sphere is eliminated.

This result is common to all eigenvectors of the TRO in the presence of a single scatterer.

The remaining vector $\phi$ represents the (signed) amplitude distribution over the array, which may have a pattern of nulls depending on the nature of the scattering from the sphere.

## Deconstructing the Transfer Matrix

The transfer matrix can now be easily (!) deconstructed into its two main components, $\hat{T}=\hat{T}_{p}+\hat{T}_{m}$.
These are terms for the dielectric and conducting contributions to the scattering:

$$
\begin{gathered}
\hat{T}_{p}=-\theta^{\theta \dot{p}}\left(g_{1} g_{1}^{T}+g_{2} g_{2}^{T}+g_{3} g_{3}^{T}\right) \\
\hat{T}_{m}=e^{i \theta_{m}}\left(g_{4} g_{4}^{T}+g_{5} g_{5}^{T}+g_{6} g_{6}^{T}\right)
\end{gathered}
$$

The vectors $g_{j}$, for $j=1, \ldots, 6$ are known explicitly from the analysis. The singular vectors for a matrix of this form can be expressed as linear combinations of the same vectors:

$$
\phi=\sum_{j=1}^{6} \gamma_{j} g_{j}
$$

## The Reduced SVD

These results reduce the SVD for the $2 N \times 2 N$ matrix $\hat{T}$ to an SVD instead of a $6 \times 6$ matrix
$G$. This reduction is obviously substantial if
$N$ is much greater than 3. The matrix elements of $G$ are given by $G_{j l}=g_{j}^{T} \cdot g_{l}$ and the SVD takes the form:

$$
\begin{aligned}
& -e^{i \theta_{p}} \sum_{l=1}^{6} G_{j l} \gamma_{l}=\lambda \gamma_{j}^{*} \text { for } j=1,2,3 \\
& e^{i \theta_{m}} \sum_{l=1}^{6} G_{j l} \gamma_{l}=\lambda \gamma_{j}^{*} \text { for } j=4,5,6 .
\end{aligned}
$$

This reduction follows from the fact that there are only a small number of terms used in the partial wave expansion for the scattered field.

## The Reduced SVD (continued)

In particular, the field is generated by an electric dipole moment and a magnetic dipole moment, each of which can be oriented in three mutually orthogonal directions. Thus, for small $k a$, there are at most six eigenvectors associated with any small scattering object such as a conducting sphere.

## CONCLUSIONS

- Six significant modes can be associated with a small spherical scatterer: three for the dielectric interaction are always present, and another three for the conductive interaction if the scatterer is highly conductive/metallic.
- Characterization using detected presence or absence of metallic/conductive properties should be relatively straightforward with this approach.
- The two modes corresponding to endfire dipoles can normally only be seen in the relatively near field.

